

1647

Practitioner's Docket No. MSU 4.1-406

**PATENT**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Alberto L. Mendoza**

Application No.: **0 9 / 082,112** Group No.: **1647**

Filed: **1998 May 20**

Examiner:

**Sharon L. Turner, Ph.D.**

For: **METHOD AND VACCINE FOR TREATMENT OF PYTHIOSIS INSIDIOSI IN HUMANS AND LOWER ANIMALS**

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**AMENDMENT TRANSMITTAL**

**RECEIVED**  
**DEC 28 2001**  
**TECH CENTER 1600/2900**

1. Transmitted herewith is an amendment for this application.

**STATUS**

2. Applicant is
- ☒ a small entity. A statement:
    - ☐ is attached.
    - ☒ was already filed.
    - ☐ other than a small entity.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: 10/26/01

Tammi L. Taylor  
Signature

Tammi L. Taylor  
(type or print name of person certifying)

(Amendment Transmittal [9-19]—page 1 of 4)

### EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. § 1.645 for extensions of time in interference proceedings, and 37 C.F.R. § 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

(complete (a) or (b), as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4) for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$\_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this is a conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY		OTHER THAN A SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO PREVIOUSLY PAID FOR		PRESENT EXTRA	RATE	ADDIT. FEE	OR RATE ADDIT. FEE
TOTAL	* 10	MINUS **	20	=	-0-	x\$9= \$	-0-	x\$18= \$
INDEP.	* 2	MINUS ***	3	=	-0-	x\$42 \$	-0-	x\$84= \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+ \$140	\$ -0-	+ \$280
						TOTAL ADDIT. FEE \$	OR -0-	TOTAL ADDIT. FEE \$

- \* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.
  - \*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
  - \*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.
- WARNING:** "After final rejection or action (§ 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 C.F.R. § 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) ☒ No additional fee for claims is required.

OR

(d) ☐ Total additional fee for claims required \$ \_\_\_\_\_

## FEE PAYMENT

- ☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_
- ☐ Authorization is hereby made to charge the amount of \$ \_\_\_\_\_
  - ☐ to Deposit Account No. \_\_\_\_\_
  - ☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should not be included on this form as it may become public.

- ☐ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

### FEE DEFICIENCY

**NOTE:** If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. ☒ If any additional extension and/or fee is required, charge Account  
No. 13-0610

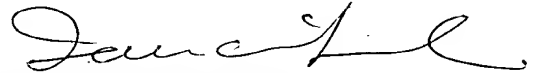
### AND/OR

- ☒ If any additional fee for claims is required, charge Account  
No. 13-0610

Reg. No.: 20,931

Tel. No.: (517 ) 347-4100

Customer No.: 21036



SIGNATURE OF PRACTITIONER

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(type or print name of practitioner)

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MSU 4.1-406  
10/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alberto L. Mendoza

Serial No.: 09/082,112

Group Art Unit: 1647

Filed : 1998 May 20

For : METHOD AND VACCINE FOR TREATMENT OF  
PYTHIOSIS INSIDIOSI IN HUMANS AND LOWER  
ANIMALS

Examiner : Sharon L. Turner, Ph.D.

Assistant Commissioner For Patents

Washington, D.C. 20231

AMENDMENT UNDER 37 C.F.R. § 1.111

Dear Sir:

In response to the Office Action mailed August  
13, 2001, the applicant amends and remarks as set forth  
below.

In the Claims:

Please amend Claims 16, 17, 18, 19, 24, and 25  
as follows.

33/6  
M.Q.  
1/3/02

RECEIVED  
DEC 28 2001  
TECH CENTER 1600/2900